

Sequential BKW-Operators and Function Algebras

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The Korovkin-type approximation theory for function algebras is studied. A complete characterization of the BKW-operators studied by Takahasi is given for function algebras, and this answers Altomare's conjecture affirmatively. As an application, the BKW-operators on the disk algebra for test functions $\{1, z\}$ are determined. © 1996 Academic Press, Inc.

1. INTRODUCTION

In 1953, Korovkin [10] proved an interesting approximation theorem: If $\{T_n\}_{n \in \mathbf{N}}$ is a sequence of positive linear operators on $C([0, 1])$ such that $\|T_n t^j - t^j\|_\infty \rightarrow 0$ as $n \rightarrow \infty$ for $j=0, 1$, and 2 , then $\|T_n f - f\|_\infty \rightarrow 0$ for every $f \in C([0, 1])$ (see also [11]). This theorem says that to prove that a sequence of positive linear operators $\{T_n\}_{n \in \mathbf{N}}$ on $C([0, 1])$ converges strongly to the identity operator, it is sufficient to check the convergence $\|T_n f - f\|_\infty \rightarrow 0$ for only three functions $f(t) = 1, t$, and t^2 . In 1968, Wulbert [20] strengthened this theorem as follows. Let $C(\Omega)$ be the space of all continuous functions on a compact Hausdorff space Ω . Let S be a subspace of $C(\Omega)$ with $1 \in S$ such that the Choquet boundary of S coincides with Ω . Let $\{T_\lambda\}_{\lambda \in \mathcal{A}}$ be a net of bounded linear operators on $C(\Omega)$ such that $\|T_\lambda\| \rightarrow 1$ and $\|T_\lambda f - f\|_\infty \rightarrow 0$ for each $f \in S$. Then Wulbert theorem says that $\|T_\lambda f - f\|_\infty \rightarrow 0$ for every $f \in C(\Omega)$.

Recently, Takahasi [15–19] has studied bounded linear operators on Banach spaces satisfying a Wulbert-type property, and he called them BKW-operators (see [16]). Let X, Y be normed spaces. We denote by $B(X, Y)$ the set of all bounded linear operators from X into Y . For a given subset S of X , Takahasi denotes by $\text{BKW}(X, Y; S)$ the set of all operators T in $B(X, Y)$ satisfying the following BKW condition (BKW is an abbreviation in honor of Bohman [4], Korovkin [10] and Wulbert [20]).

BKW: For every net $\{T_\lambda\}_{\lambda \in A}$ in $B(X, Y)$ such that $\|T_\lambda\| \rightarrow \|T\|$ and $\|T_\lambda s - Ts\| \rightarrow 0$ for each $s \in S$, it follows that $\|T_\lambda x - Tx\| \rightarrow 0$ for every $x \in X$.

Takahasi used nets of operators in the definition of BKW. It is natural to study sequential type BKW-operators as Korovkin's theorem. Hence we denote by $s\text{-BKW}(X, Y; S)$ the set of T in $B(X, Y)$ satisfying the following $s\text{-BKW}$ condition.

$s\text{-BKW}$: For every sequence $\{T_n\}_{n \in \mathbb{N}}$ in $B(X, Y)$ such that $\|T_n\| \rightarrow \|T\|$ and $\|T_n s - Ts\| \rightarrow 0$ for each $s \in S$, it follows that $\|T_n x - Tx\| \rightarrow 0$ for every $x \in X$.

It is not difficult to see that $\text{BKW}(X, Y; S) \subset s\text{-BKW}(X, Y; S)$. When $X = Y$, we write $B(X) = B(X, X)$ and $\text{BKW}(X; S) = \text{BKW}(X, X; S)$, etc. Then by the Korovkin and Wulbert theorems, $I \in \text{BKW}(C([0, 1]); \{1, t, t^2\})$ and $I \in \text{BKW}(C(\Omega); S)$ for those subsets S whose Choquet boundary coincides with Ω , where I is the identity operator.

Our subject of this paper is to determine all operators in $\text{BKW}(X, Y; S)$ and $s\text{-BKW}(X, Y; S)$ for a given subset S of X . Another interesting problem is to determine all subsets S of X which satisfy $T \in \text{BKW}(X, Y; S)$ for a given operator $T \in B(X, Y)$. In this case, S is called the *Korovkin set for T* (see a recent monograph by Altomare and Campiti [3]). These two problems are essentially the same.

In Section 2, we prove that if S is a separable subset of X then $s\text{-BKW}(X, Y; S) = \text{BKW}(X, Y; S)$, but generally $s\text{-BKW}(X; S) \neq \text{BKW}(X; S)$. In Section 3, we give a characterization of all operators T in $\text{BKW}(X, A; S)$ for a function algebra A which is a generalization of [19, Theorem 1.4]. This characterization of $\text{BKW}(X, A; S)$ gives us an affirmative answer to the conjecture posed by Altomare in [2]. In Section 4, we determine $\text{BKW}(\mathcal{A}; \{1, z\})$ for the disk algebra \mathcal{A} and discuss $\text{BKW}(\mathcal{A}; \{1, z, z^2\})$.

2. SEQUENTIAL BKW-OPERATORS

In [17, 19], Takahasi proved the following lemma.

LEMMA 1. *Let $T \in B(X, Y)$. Then $T \in \text{BKW}(X, Y; S)$ if and only if for every net $\{T_\lambda\}_{\lambda \in A}$ in $B(X, Y)$ such that $\|T_\lambda\| \leq \|T\|$ for each $\lambda \in A$ and*

$\|T_\lambda s - Ts\| \rightarrow 0$ for each $s \in S$, it follows that $\|T_\lambda x - Tx\| \rightarrow 0$ for every $x \in X$.

By the same method, we can prove the following. Here we give an elementary proof. We denote by \mathbf{N} the set of positive integers.

LEMMA 2. Let $T \in B(X, Y)$. Then $T \in s\text{-BKW}(X, Y; S)$ if and only if for every sequence $\{T_n\}_{n \in \mathbf{N}}$ in $B(X, Y)$ such that $\|T_n\| \leq \|T\|$ for each $n \in \mathbf{N}$ and $\|T_n s - Ts\| \rightarrow 0$ for each $s \in S$, it follows that $\|T_n x - Tx\| \rightarrow 0$ for every $x \in X$.

Proof. The proof of sufficiency is trivial. Hence we suppose that $T \in s\text{-BKW}(X, Y; S)$. When $T=0$, there is nothing to prove, so we assume that $T \neq 0$. Let $\{T_n\}_{n \in \mathbf{N}}$ be a sequence in $B(X, Y)$ such that

$$\|T_n\| \leq \|T\| \quad \text{for } n \in \mathbf{N}, \tag{1}$$

$$\|T_n s - Ts\| \rightarrow 0 \quad \text{for } s \in S. \tag{2}$$

To prove $\|T_n x - Tx\| \rightarrow 0$ for $x \in X$, suppose not. Then there exists $x_0 \in X$ such that

$$\limsup_{n \rightarrow \infty} \|T_n x_0 - Tx_0\| \neq 0.$$

By considering a subsequence, we may assume that

$$\lim_{n \rightarrow \infty} \|T_n x_0 - Tx_0\| \neq 0. \tag{3}$$

Let

$$G_n = T_n - a_n(T - T_n) = (1 + a_n) T_n - a_n T, \tag{4}$$

where a_n is a nonnegative number such that $\|G_n\| = \|T\|$. By (1), (3), and the intermediate valued theorem, there exist such a_n and $\{a_n\}_n$ is a bounded sequence. By (4),

$$G_n - T = (1 + a_n)(T_n - T). \tag{5}$$

Then by (2), for each $s \in S$ we have

$$\|G_n s - Ts\| = (1 + a_n)\|T_n s - Ts\| \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Since $T \in s\text{-BKW}(X, Y; S)$, $\|G_n x - Tx\| \rightarrow 0$ for every $x \in X$. But by (3) and (5), $\|G_n x_0 - Tx_0\| = (1 + a_n)\|T_n x_0 - Tx_0\|$ does not converge to 0. This is a contradiction.

THEOREM 1. *Let S be a separable subset of X . Then $s\text{-BKW}(X, Y; S) = \text{BKW}(X, Y; S)$.*

Proof. We need to prove that $s\text{-BKW}(X, Y; S) \subset \text{BKW}(X, Y; S)$. Let $T \in s\text{-BKW}(X, Y; S)$. Suppose that $T \notin \text{BKW}(X, Y; S)$. Then by Lemma 1, there exists a net $\{T_\lambda\}_{\lambda \in A}$ in $B(X, Y)$ such that

$$\|T_\lambda\| \leq \|T\| \quad \text{for every } \lambda \in A, \quad (1)$$

$$\|T_\lambda s - Ts\| \rightarrow 0 \quad \text{for } s \in S, \quad (2)$$

and $\|T_\lambda x_0 - Tx_0\|$ does not converge to 0 for some $x_0 \in X$. Then there exists a positive number $\sigma > 0$ such that for each $\lambda_0 \in A$, we have

$$\|T_\lambda x_0 - Tx_0\| > \sigma \quad \text{for some } \lambda > \lambda_0. \quad (3)$$

Let \tilde{S} be the closed linear span of S in X . Then \tilde{S} is a separable Banach space. Here we use the fact that the strong operator topology on bounded sets of $B(X, Y)$ is metrizable if X is separable. By (1) and (2), we see that $T_\lambda \rightarrow T$ strongly on \tilde{S} . Hence by the above fact and (3), there exists a subsequence $\{T_{\lambda_n}\}_{n \in \mathbf{N}}$ in $\{T_\lambda\}_{\lambda \in A}$ such that $T_{\lambda_n} \rightarrow T$ strongly on \tilde{S} and

$$\|T_{\lambda_n} x_0 - Tx_0\| > \sigma \quad \text{for } n \in \mathbf{N}. \quad (4)$$

Since $T \in s\text{-BKW}(X, Y; S)$, by Lemma 2 $T_{\lambda_n} \rightarrow T$ strongly on X . But this contradicts (4). Thus we get our assertion.

The following example shows that Theorem 1 is not true without the condition of separability of S .

EXAMPLE 1. Let $\Omega = \beta\mathbf{N} \setminus \mathbf{N}$, where $\beta\mathbf{N}$ is the Stone–Čech compactification of \mathbf{N} , and let S be the ideal of all continuous functions vanishing at a single point. We note that S is not a separable subspace of $C(\Omega)$. In [13], Scheffold proved that

$$I \notin \text{BKW}(C(\Omega); S) \quad \text{and} \quad I \in s\text{-BKW}(C(\Omega); S),$$

where I is the identity operator on $C(\Omega)$; see also [9, p. 164].

3. FUNCTION ALGEBRAS

Let Ω be a compact Hausdorff space. A supremum norm closed subalgebra A of $C(\Omega)$ is called a function algebra on Ω if A contains constant functions, and for distinct points x, y in Ω there exists a function $f \in A$ such

that $f(x) \neq f(y)$. In this section, every A denotes a function algebra on Ω . We denote by ∂A the Shilov boundary of A , that is, ∂A is the smallest closed subset of Ω such that $\|f\|_\infty = \sup\{|f(x)|; x \in \partial A\}$ for every $f \in A$. When $A = C(\Omega)$, we have $\partial A = \Omega$. We identify a point ζ in Ω with δ_ζ the point evaluation of A at ζ ; $\delta_\zeta(f) = f(\zeta)$ for $f \in A$. Hence we may consider that $\partial A \subset \Omega \subset A^*$. A closed subset E of Ω is called a peak set for A if there exists f in A such that $f = 1$ on E and $|f| < 1$ on $\Omega \setminus E$. A point ζ in Ω is called a p -point if $\{\zeta\} = \bigcap_\alpha E_\alpha$ for some peak sets E_α . The set of p -points is dense in ∂A . Let D be the open unit disk and let Γ be the unit circle. Let \mathcal{A} be the disk algebra, that is, \mathcal{A} is the supremum norm closed algebra of continuous functions on \bar{D} and analytic in D . Then we have $\partial \mathcal{A} = \Gamma$. If $f \in A$ with $\|f\|_\infty \leq 1$, then $h \circ f \in A$ for every $h \in \mathcal{A}$. References [5, 7] are nice for function algebras and the disk algebra.

In this section, we study s -BKW($X, A; S$) and BKW($X, A; S$) for normed spaces X and $S \subset X$. We denote by X^* the dual space of X and $X_r^* = \{F \in X^*; \|F\| \leq r\}$ for $r > 0$. Let

$$U_S(X_r^*) = \{F \in X_r^*; \text{if } G \in X_r^*, F = G \text{ on } S, \text{ then } F = G \text{ on } X\}.$$

The set $U_S(X_r^*)$ is called the uniqueness set for S and this set plays an essential role in the study of the Korovkin type of approximation theorems (see [12, 16, 19]).

LEMMA 3 [19, Theorem 1.2]. *Let X, Y be normed spaces and $S \subset X$. Let E be a weak*-closed subset of Y_1^* such that $\|y\| = \sup\{|F(y)|; F \in E\}$ for every $y \in Y$. Let $T \in B(X, Y)$. If $T^*(E) \subset U_S(X_{\|T\|}^*)$, then $T \in \text{BKW}(X, Y; S)$, where T^* is the dual operator of T .*

The main interest is whether the converse assertion of the above result is true or not for a function algebra $Y = A$ and $E = \partial A$. In [19, Theorem 1.4], Takahasi proved that the converse is true when Y is a supremum norm closed subalgebra of continuous functions on a locally compact Hausdorff space which contains the space of all continuous functions having compact support. Therefore

$$\text{BKW}(X, C(\Omega); S) = \{T \in B(X, C(\Omega)); T^*(\Omega) \subset U_S(X_{\|T\|}^*)\}$$

for every normed space X and $S \subset X$, where we identify a point ζ in Ω with the unit point mass δ_ζ at ζ . When $Y = A$ is a function algebra, we have the following theorem.

THEOREM 2. *Let A be a function algebra. Let X be a normed space and $S \subset X$. Then*

$$\text{BKW}(X, A; S) = \{T \in B(X, A); T^*(\partial A) \subset U_S(X_{\|T\|}^*)\}.$$

Moreover, if ∂A satisfies the first countability axiom, then $s\text{-BKW}(X, A; S) = \text{BKW}(X, A; S)$.

COROLLARY 1. *If Ω is a compact Hausdorff space satisfying the first countability axiom, then $s\text{-BKW}(X, C(\Omega); S) = \text{BKW}(X, C(\Omega); S)$ for every normed space X and $S \subset X$.*

By Example 1, we can not remove the condition of the first countability of Ω in Corollary 1.

Proof of Theorem 2. By Lemma 3, we have

$$\{T \in \mathcal{B}(X, A); T^*(\partial A) \subset U_S(X_{\|T\|}^*)\} \subset \text{BKW}(X, A; S).$$

To show the converse inclusion, let $T \in \text{BKW}(X, A; S)$ and $\zeta_0 \in \partial A$. We may assume $\|T\| = 1$. We shall prove that

$$T^* \zeta_0 = T^* \delta_{\zeta_0} \in U_S(X_1^*).$$

Let $F \in X^*$ such that $\|F\| \leq 1$ and $F = T^* \zeta_0$ on S . Then

$$F(s) = (Ts)(\zeta_0) \quad \text{for } s \in S. \quad (1)$$

It is sufficient to prove that

$$F(x) = (Tx)(\zeta_0) \quad \text{for every } x \in X. \quad (2)$$

Let $\{U_\lambda\}_{\lambda \in A}$ be the net of all open neighborhoods of ζ_0 in ∂A . Then there exists a p -point ζ_λ in U_λ , and there exists $h_\lambda \in A$ such that $\|h_\lambda\|_\infty = 1$ and

$$\zeta_\lambda \in \{\zeta \in \partial A; |h_\lambda(\zeta)| = 1\} = \{\zeta \in \partial A; h_\lambda(\zeta) = 1\} \subset U_\lambda. \quad (3)$$

For each fixed $\lambda \in A$, using h_λ we shall find a sequence $\{f_{\lambda, n}\}_{n \in \mathbf{N}}$ in A such that

$$f_{\lambda, n}(\zeta_\lambda) = 1 \quad \text{for every } n \in \mathbf{N}, \quad (4)$$

$$|f_{\lambda, n}| + |1 - f_{\lambda, n}| < 1 + 1/n \quad \text{on } \partial A, \quad (5)$$

$$|f_{\lambda, n}| < 1/n \quad \text{on } \partial A \setminus U_\lambda. \quad (6)$$

Let

$$B_n = \{z \in C; |z| + |1 - z| \leq 1 + 1/n\} \quad \text{and} \quad \tilde{B}_n = \{z \in C; |z| < 1/n\} \cap B_n. \quad (7)$$

Let

$$g(z) = (1 + z)/2, \quad z \in \bar{D},$$

and for $0 < r < 1$ let

$$\phi_r(z) = \frac{z-r}{1-rz}, \quad z \in \bar{D},$$

$$\psi_r(z) = \frac{\sigma(z)^r - 1}{\sigma(z)^r + 1}, \quad \text{where } \sigma(z) = \frac{1+z}{1-z}, \quad z \in \bar{D}.$$

Then g , ϕ_r , and ψ_r are contained in the disk algebra \mathcal{A} . Since $\psi_r(\bar{D})$ is the lens-shaped closed domain (see [14, p. 27]), by taking r_1 very close to 0 we have

$$g(\psi_{r_1}(\bar{D})) \subset B_n. \tag{8}$$

We note that r_1 depends on n . By (3), $1 \in h_\lambda(U_\lambda)$ and $h_\lambda(U_\lambda^c)$ is a compact subset of D . Hence by taking r_2 very close to 1, we have

$$\phi_{r_2}(h_\lambda(U_\lambda^c)) \subset \psi_{r_1}^{-1}(g^{-1}(\bar{B}_n)). \tag{9}$$

We note that r_2 depends on n . Let

$$f_{\lambda,n} = g \circ \psi_{r_1} \circ \phi_{r_2} \circ h_\lambda.$$

Since $g \circ \psi_{r_1} \circ \phi_{r_2} \in \mathcal{A}$ and $h_\lambda \in \mathcal{A}$, we have $f_{\lambda,n} \in \mathcal{A}$. Since $1 = g(1) = \psi_{r_1}(1) = \phi_{r_2}(1)$, by (3) we get (4). By (7) and (8), we have (5). By (7) and (9), we get (6).

Now for $\lambda \in A$ and $n \in \mathbf{N}$, let

$$T'_{\lambda,n}x = F(x)f_{\lambda,n} + (1 - f_{\lambda,n})Tx, \quad x \in X. \tag{10}$$

Then $T'_{\lambda,n} \in B(X, A)$, and on ∂A we have

$$\begin{aligned} |T'_{\lambda,n}x| &\leq |F(x)||f_{\lambda,n}| + |1 - f_{\lambda,n}||Tx|_\infty \\ &\leq (|f_{\lambda,n}| + |1 - f_{\lambda,n}|) \|x\| \\ &\leq (1 + 1/n)\|x\| \quad \text{by (5)}. \end{aligned}$$

Hence $\|T'_{\lambda,n}\| \leq 1 + 1/n$. Let

$$T_{\lambda,n} = \frac{n}{n+1} T'_{\lambda,n}. \tag{11}$$

Then $\|T_{\lambda,n}\| \leq 1 = \|T\|$. We note that $\{T_{\lambda,n}\}_{(\lambda,n) \in A \times \mathbf{N}}$ is a net. We claim that

$$\lim_{\lambda,n} \|T_{\lambda,n}s - Ts\|_\infty = 0 \quad \text{for } s \in S. \tag{12}$$

To show this, let $s \in S$ with $\|s\| = 1$. By (10) and (11),

$$T_{\lambda, n}x - Tx = \frac{n}{n+1} (F(x) - Tx)f_{\lambda, n} - \frac{1}{n+1} Tx, \quad x \in X. \quad (13)$$

For any $\varepsilon > 0$, by (1) there exists $\lambda_\varepsilon \in A$ such that

$$|F(s) - (Ts)(\zeta)| < \varepsilon \quad \text{for } \zeta \in U_\lambda, \lambda > \lambda_\varepsilon.$$

Then by (5) and (13),

$$|(T_{\lambda, n}s - Ts)(\zeta)| \leq \varepsilon + \frac{1}{n+1} \quad \text{for } \zeta \in U_\lambda, \lambda > \lambda_\varepsilon. \quad (14)$$

By (6) and (13),

$$|(T_{\lambda, n}s - Ts)(\zeta)| < 3/(n+1) \quad \text{for } \zeta \in \partial A \setminus U_\lambda, \lambda > \lambda_\varepsilon. \quad (15)$$

By (14) and (15), we obtain (12).

Since $T \in \text{BKW}(X, A; S)$, by Lemma 1 we have

$$\lim_{\lambda, n} \|T_{\lambda, n}x - Tx\|_\infty = 0 \quad \text{for every } x \in X.$$

Hence

$$\lim_{\lambda, n} |(T_{\lambda, n}x - Tx)(\zeta_\lambda)| = 0. \quad (16)$$

By (4) and (13),

$$(T_{\lambda, n}x - Tx)(\zeta_\lambda) = \frac{n}{n+1} F(x) - (Tx)(\zeta_\lambda).$$

Since $\zeta_\lambda \rightarrow \zeta_0$, by (16) we get (2). As a consequence, we obtain

$$\text{BKW}(X, A; S) = \{T \in B(X, A); T^*(\partial A) \subset U_S(X_{\|T\|}^*)\}. \quad (17)$$

When ∂A satisfies the first countability axiom, we can take a sequence of open subsets $\{U_n\}_{n \in \mathbb{N}}$ of ∂A such that

$$\{\zeta_0\} = \bigcap_{n=1}^{\infty} U_n \subset U_{n+1} \subset U_n.$$

Replacing λ by n in the proof of the first assertion, by the same argument we can prove that

$$s\text{-BKW}(X, A; S) \subset \{T \in B(X, A); T^*(\partial A) \subset U_S(X_{\|T\|}^*)\}.$$

Then by (17) and $\text{BKW}(X, A; S) \subset s\text{-BKW}(X, A; S)$, we obtain that $s\text{-BKW}(X, A; S) = \text{BKW}(X, A; S)$.

When $X=A$, as a special case of Theorem 2 we have the following corollary.

COROLLARY 2. *Let A be a function algebra and $S \subset A$. Then the identity operator is contained in $\text{BKW}(A; S)$ if and only if for every $\zeta \in \partial A$ and $\mu \in A^*$ such that $\|\mu\| \leq 1$ and $\mu(h) = h(\zeta)$ for every $h \in S$, it follows that $\mu = \zeta$.*

This result solves in the positive a conjecture posed by Altomare in [2]. Also, by Theorem 2, we have the following corollary. We note that this corollary also follows from combining Corollary 2 with Altomare's result [1, Theorem 3.1].

COROLLARY 3. *Let A be a function algebra and $S \subset A$. Then the identity operator is contained in $\text{BKW}(A; S)$ if and only if for every function algebra B , every $T \in B(A, B)$ satisfying $T^*(\partial B) \subset \partial A$ is contained in $\text{BKW}(A, B; S)$.*

4. BKW-OPERATORS ON THE DISK ALGEBRA

In this section, we mainly discuss $\text{BKW}(\mathcal{A}; S)$ for the disk algebra \mathcal{A} . By Theorem 2, for a function algebra A we have $T \in \text{BKW}(A; S)$ if and only if $T^*(\partial A) \subset U_S(A_{\|T\|}^*)$. Generally, it is difficult to check whether T satisfies the latter condition or not. For $S \subset A$, let \tilde{S} be the closed linear span of S . We have the following lemma (see also [19, Lemma 2.1]).

LEMMA 4. *Let A be a function algebra, $S \subset A$ with $\tilde{S} \neq A$, and $R > 0$. Then $U_S(A_R^*) = U_{\tilde{S}}(A_R^*)$. If $F \in U_S(A_R^*)$, then $\|F\|_{\tilde{S}} = R$, where $\|F\|_{\tilde{S}} = \sup\{|F(s)|; s \in \tilde{S}, \|s\|_{\infty} = 1\}$.*

Proof. It is easy to see that $U_S(A_R^*) = U_{\tilde{S}}(A_R^*)$. Let $F \in U_S(A_R^*)$. To prove $\|F\|_{\tilde{S}} = R$, suppose that $\|F\|_{\tilde{S}} < R$. By the Hahn–Banach extension theorem, there exists $G \in A^*$ such that $G = F$ on \tilde{S} and $\|G\| = \|F\|_{\tilde{S}} < R$. Then there exists $H \in A^*$ such that $H = 0$ on \tilde{S} , $H \neq 0$ on A , and $\|H\| < R - \|G\|$. Let

$$G_r = G + rH, \quad 0 \leq r \leq 1.$$

Then $\|G_r\| \leq R$, $G_r = G = F$ on S , and $G_{r_1} \neq G_{r_2}$ for $r_1 \neq r_2$. Hence $F \notin U_S(A_R^*)$. This is a contradiction.

We may identify A and $A|_{\partial A}$. Then A is a closed subalgebra of $C(\partial A)$. We denote by $M(\partial A)$ the space of bounded (with respect to the total variation norm) Borel measures on ∂A and $M_r(\partial A) = \{\mu \in M(\partial A); \|\mu\| \leq r\}$ for $r > 0$. By the Hahn–Banach and Riesz representation theorems, for each $F \in A^*$

there are some measures μ in $M(\partial A)$ such that $\|F\| = \|\mu\|$ and $F(f) = \int_{\partial A} f d\mu$ for $f \in A$. By identifying $F \in A^*$ with one of the above measures μ , we consider that

$$A^* \subset M(\partial A) = C(\partial A)^*.$$

Under these considerations, Theorem 2 and Lemma 4 state the following.

LEMMA 5. *Let A be a function algebra and $S \subset A$ with $\tilde{S} \neq A$. Then $T \in \text{BKW}(A; S)$ if and only if for each $\zeta \in \partial A$, it follows that*

- (1) $T^*\zeta \in M(\partial A)$ and $\|T^*\zeta\| = \|T\|$,
- (2) $\|T^*\zeta\| = \sup\{|\int_{\partial A} s dT^*\zeta|; s \in \tilde{S}, \|s\|_\infty = 1\}$,
- (3) if $\mu \in M_{\|T\|}(\partial A)$ and $\int_{\partial A} s dT^*\zeta = \int_{\partial A} s d\mu$ for $s \in S$, then $\int_{\partial A} f dT^*\zeta = \int_{\partial A} f d\mu$ for every $f \in A$.

In the above, condition (3) is essential, and (1) and (2) are deduced from (3). To clear the condition on $T^*\zeta$ for $T \in \text{BKW}(A; S)$, we add (1) and (2).

Let \mathcal{A} be the disk algebra on \bar{D} . Then $\partial\mathcal{A} = \Gamma$, the unit circle. For $\psi \in \mathcal{A}$, let M_ψ be the multiplication operator on \mathcal{A} : $M_\psi f = \psi f$ for $f \in \mathcal{A}$. For $\phi \in \mathcal{A}$ with $\|\phi\|_\infty \leq 1$, let C_ϕ be the composition operator on \mathcal{A} : $C_\phi f = f \circ \phi$ for $f \in \mathcal{A}$. If $b \in \mathcal{A}$ and $|b| = 1$ on Γ , then b is a finite Blaschke product and b has the form

$$b(z) = \lambda \prod_{n=1}^k \frac{-\bar{z}_n}{|z_n|} \frac{z - z_n}{1 - \bar{z}_n z}, \quad z \in \bar{D},$$

for some constant λ with $|\lambda| = 1$ and $z_n \in D$, $n = 1, 2, \dots, k$, where we consider that $-0/0 = 1$ (see [7]). Since $\Gamma = \partial\mathcal{A}$, by Theorem 1 or 2 we have $s\text{-BKW}(\mathcal{A}; \{1, z\}) = \text{BKW}(\mathcal{A}; \{1, z\})$. In [16], Takahasi proves that $C_\phi \in \text{BKW}(\mathcal{A}; \{1, z\})$ for a finite Blaschke product ϕ . The following theorem gives a complete characterization of operators in $\text{BKW}(\mathcal{A}; \{1, z\})$.

THEOREM 3. *Let \mathcal{A} be the disk algebra. Then*

$$\text{BKW}(\mathcal{A}; \{1, z\}) = \{aM_\psi C_\phi; \psi, \phi \text{ are finite Blaschke products, } a \in C\}.$$

Proof. Let $S = \{1, z\}$. Let $\psi, \phi \in \mathcal{A}$ with $|\psi| = |\phi| = 1$ on Γ and let $T = M_\psi C_\phi$. Then $\|T\| = 1$. To show $T \in \text{BKW}(\mathcal{A}; \{1, z\})$, let $\zeta \in \Gamma$. By the definition of T ,

$$(T^*\zeta)(f) = (Tf)(\zeta) = \psi(\zeta)(f \circ \phi)(\zeta) = (\psi(\zeta) \delta_{\phi(\zeta)})(f)$$

for $f \in \mathcal{A}$. Hence we may consider that $T^*\zeta = \phi(\zeta) \delta_{\phi(\zeta)}$. Now it is easy to see that $T^*\zeta \in U_S(\mathcal{A}_1^*)$. By Theorem 2, $T \in \text{BKW}(\mathcal{A}; \{1, z\})$.

Next, let $T \in \text{BKW}(\mathcal{A}; \{1, z\})$. We may assume that $\|T\| = 1$. Let

$$\psi = T1 \in \mathcal{A}, \tag{1}$$

$$\phi_1 = Tz \in \mathcal{A}. \tag{2}$$

Now we shall prove

$$|\psi| = |\phi_1| = 1 \quad \text{on } \Gamma. \tag{3}$$

Let $\zeta_0 \in \Gamma = \partial\mathcal{A}$. Then

$$\int_{\Gamma} dT^* \zeta_0 = (T^* \zeta_0)(1) = (T1)(\zeta_0) = \psi(\zeta_0), \tag{4}$$

$$\int_{\Gamma} z dT^* \zeta_0 = (Tz)(\zeta_0) = \phi_1(\zeta_0). \tag{5}$$

Since $S = \{1, z\}$ and $\|a + bz\|_{\infty} = |a| + |b|$ for $a, b \in \mathbb{C}$, we have

$$\sup \left\{ \left| \int_{\Gamma} s dT^* \zeta_0 \right|; s \in \tilde{S}, \|s\|_{\infty} = 1 \right\} = \max \left\{ \left| \int_{\Gamma} dT^* \zeta_0 \right|, \left| \int_{\Gamma} z dT^* \zeta_0 \right| \right\}.$$

Hence, by Lemma 5, we have $\|T^* \zeta_0\| = 1$ and then

$$|\psi(\zeta_0)| = 1 \quad \text{or} \quad |\phi_1(\zeta_0)| = 1.$$

To prove (3), suppose not. Here we assume that $|\psi(\zeta_0)| = 1$ and $|\phi_1(\zeta_0)| < 1$. By the same way, we can work for the case $|\psi(\zeta_0)| < 1$ and $|\phi_1(\zeta_0)| = 1$. Since $|\overline{\psi(\zeta_0)} \phi_1(\zeta_0)| < 1$, it is not difficult to find many probability measures ν on Γ of the form

$$\nu = a \delta_{t_1} + (1 - a) \delta_{t_2}, \quad \text{where } 0 < a < 1 \quad \text{and} \quad t_1, t_2 \in \Gamma,$$

such that

$$\int_{\Gamma} z d\nu = \overline{\psi(\zeta_0)} \phi_1(\zeta_0).$$

Let $\mu = \psi(\zeta_0) \nu$. Then $\|\mu\| = 1$, and by (4) and (5) we have

$$\int_{\Gamma} d\mu = \psi(\zeta_0) = \int_{\Gamma} dT^* \zeta_0 \quad \text{and} \quad \int_{\Gamma} z d\mu = \phi_1(\zeta_0) = \int_{\Gamma} z dT^* \zeta_0. \tag{6}$$

By the above construction of μ , it is easy to see the existence of μ_1 and μ_2 satisfying (6) and $\int_{\Gamma} z^2 d\mu_1 \neq \int_{\Gamma} z^2 d\mu_2$. Then by Lemma 5, $T \notin \text{BKW}(\mathcal{A}; \{1, z\})$. Hence we get (3).

Now by (3), (4), and (5), for every $\zeta \in \Gamma$ we obtain

$$T^*\zeta = \psi(\zeta) \delta_{\phi(\zeta)}, \quad \text{where } \phi(\zeta) = \phi_1(\zeta)/\psi(\zeta).$$

Therefore we have

$$(Tf)(\zeta) = (T^*\zeta)(f) = \psi(\zeta)(f \circ \phi)(\zeta) \quad \text{for } f \in \mathcal{A}.$$

When $f = z^n$, we have

$$\psi \phi^n = \phi_1^n / \psi^{n-1} \in \mathcal{A}, \quad n \in \mathbf{N}. \quad (7)$$

By (1), (2), and (3), ψ and ϕ_1 are finite Blaschke products. Then by (7), we obtain $\phi_1/\psi \in \mathcal{A}$ and that $\phi = \phi_1/\psi$ is a finite Blaschke product. This completes the proof.

By the same argument, we can get the following.

THEOREM 4. $\text{BKW}(C(\Gamma); \{1, z\}) = \{aM_\psi C_\phi; \psi, \phi \in C(\Gamma), |\psi| = |\phi| = 1 \text{ on } \Gamma, a \in C\}$.

Also in the same way as the proof of Theorem 3, we obtain the following.

THEOREM 5. $\text{BKW}(\mathcal{A}; \{1, z^n\}) = \{0\}$ and $\text{BKW}(C(\Gamma); \{1, z^n\}) = \{0\}$ for $n \geq 2$.

Proof. Let $n \geq 2$. We only prove the first assertion. Let $T \in \text{BKW}(\mathcal{A}; \{1, z^n\})$ and $\|T\| = 1$. Let $\psi = T1 \in \mathcal{A}$ and $\phi = Tz^n \in \mathcal{A}$. In the same way as the proof of Theorem 3,

$$|\psi| = |\phi| = 1 \quad \text{on } \Gamma.$$

Let $\zeta \in \Gamma$. Then

$$\int_{\Gamma} dT^* \zeta = \psi(\zeta) \quad \text{and} \quad \int_{\Gamma} z^n dT^* \zeta = \phi(\zeta).$$

Let ζ_1, \dots, ζ_n be the distinct points in Γ such that

$$\zeta_j^n = \overline{\psi(\zeta)} \phi(\zeta) \quad \text{for } 1 \leq j \leq n.$$

For $a = (a_1, \dots, a_n)$ with $a_j \geq 0$ and $\sum_{j=1}^n a_j = 1$, let

$$\mu_a = \psi(\zeta) \left(\sum_{j=1}^n a_j \delta_{\zeta_j} \right).$$

Then $\|\mu_a\| = 1 = \|T^*\zeta\|$, and

$$\int_{\Gamma} d\mu_a = \psi(\zeta) \quad \text{and} \quad \int_{\Gamma} z^n d\mu_a = \phi(\zeta).$$

It is not difficult to see that $\int_{\Gamma} z^{n+1} d\mu_a \neq \int_{\Gamma} z^{n+1} dT^*\zeta$ for some $a = (a_1, \dots, a_n)$. Hence, by Lemma 5, we have $T \notin \text{BKW}(\mathcal{A}; \{1, z^n\})$. This is a contradiction.

Let $T \in \text{BKW}(\mathcal{A}, A; \{1, z\})$ with $\|T\| = 1$ for a function algebra A . Then in the same way as the proof of Theorem 3, we have

$$\begin{aligned} |T1| &= |Tz| = 1 && \text{on } \partial A, \\ Tf &= \psi(f \circ \phi) && \text{for every } f \in \mathcal{A}, \end{aligned}$$

where $\psi = T1 \in A$, $\phi = Tz/T1$, and

$$(T1)(Tz/T1)^n = (Tz)^n/(T1)^{n-1} \in A \quad \text{for } n \in \mathbf{N}.$$

Here the reader may expect that $\phi \in A$ and $T = M_{\psi} C_{\phi}$ for $\psi, \phi \in A$. But it is not so.

EXAMPLE 2. Let $H^{\infty}(D)$ be the space of all bounded analytic functions on D . For each $f \in H^{\infty}(D)$, there exists a radial limit function $f(e^{i\theta})$ for almost every $e^{i\theta} \in \Gamma$. We denote by $H^{\infty}(\Gamma)$ the space of these radial limit functions, and we identify $H^{\infty}(\Gamma)$ with $H^{\infty}(D)$. Let $A = H^{\infty}(\Gamma) + C(\Gamma)$. Then A is an essential supremum norm closed subalgebra of $L^{\infty}(\Gamma)$, hence A is a function algebra (see [6]). By [8], there exist inner functions q_1 and q_2 such that $q_2/q_1 \notin A$ and $q_2^{n+1}/q_1^n \in A$ for every $n \in \mathbf{N}$. Let

$$Tf = q_1(f \circ (q_2/q_1)) \quad \text{for } f \in \mathcal{A}.$$

Then $T \in B(\mathcal{A}, A)$. By the first paragraph of the proof of Theorem 3, we have $T \in \text{BKW}(\mathcal{A}, A; \{1, z\})$. By the definition of T , $T1 = q_1$ and $Tz = q_2$, so that $Tz/T1 = q_2/q_1 \notin A$. But $(Tz)^n/(T1)^{n-1} = q_2^n/q_1^{n-1} \in A$ for $n \in \mathbf{N}$.

Finally, we discuss $\text{BKW}(\mathcal{A}; \{1, z, z^2\})$. In [16, Theorem 1], Takahasi proved that if ϕ_1 and ϕ_2 are finite Blaschke products, and a_1, a_2 are positive numbers, then $a_1 C_{\phi_1} + a_2 C_{\phi_2} \in \text{BKW}(\mathcal{A}; \{1, z, z^2\})$. He actually proved that

LEMMA 6. $\{a_1\delta_{z_1} + a_2\delta_{z_2}; z_1, z_2 \in \Gamma, a_1 + a_2 = 1, a_1, a_2 \geq 0\} \subset U_{\{1, z, z^2\}}(M_1(\Gamma))$.

By Takahasi's result and Theorem 3, we have a conjecture that if $T \in \text{BKW}(\mathcal{A}; \{1, z, z^2\})$, then T has the form

$$T = a_1 M_{\psi_1} C_{\phi_1} + a_2 M_{\psi_2} C_{\phi_2},$$

where ψ_i and ϕ_i , $i = 1, 2$, are finite Blaschke products and $a_1, a_2 \in C$. We show two examples which say the above conjecture is not true.

EXAMPLE 3. Let $\lambda(e^{i\theta}) = (e^{i\theta} + e^{-i\theta} + 2)/4$, $e^{i\theta} \in \Gamma$. Then $0 \leq \lambda \leq 1$ on Γ . Let

$$(Tf)(e^{i\theta}) = \lambda(e^{i\theta})f(e^{i\theta}) + (1 - \lambda(e^{i\theta}))f(e^{2i\theta}) = (\lambda C_z + (1 - \lambda) C_{z^2})(f)(e^{i\theta})$$

for $f \in \mathcal{A}$. Then $|(Tf)(e^{i\theta})| \leq \|f\|_\infty$. For $f \in \mathcal{A}$, we can write as $f(e^{i\theta}) = f(0) + e^{i\theta}h(e^{i\theta})$ for some $f \in \mathcal{A}$. Then

$$\begin{aligned} (Tf)(e^{i\theta}) &= f(e^{2i\theta}) + \lambda(e^{i\theta})(f(e^{i\theta}) - f(e^{2i\theta})) \\ &= f(e^{2i\theta}) + \lambda(e^{i\theta}) e^{i\theta} (h(e^{i\theta}) - e^{i\theta} h(e^{2i\theta})) \\ &= f(e^{2i\theta}) + (h(e^{i\theta}) - e^{i\theta} h(e^{2i\theta}))(e^{2i\theta} + 1 + 2e^{i\theta})/4 \\ &\in \mathcal{A}. \end{aligned}$$

Hence $T \in B(\mathcal{A})$, $\|T\| = 1$, and $T1 = 1$. By the definition of T ,

$$T^* e^{i\theta} = (e^{i\theta} + e^{-i\theta} + 2)/4 \delta_{e^{i\theta}} + (1 - (e^{i\theta} + e^{-i\theta} + 2)/4) \delta_{e^{2i\theta}}.$$

Then by Lemma 6, $T^* e^{i\theta} \in U_{\{1, z, z^2\}}(M_1(\Gamma))$ for every $e^{i\theta} \in \Gamma$. Hence by Theorem 2, we have $T \in \text{BKW}(\mathcal{A}; \{1, z, z^2\})$. We note that $\lambda \notin \mathcal{A}$.

EXAMPLE 4. We consider that $\{e^{i\theta}; -\pi < \theta \leq \pi\} = \Gamma$. Let $\lambda(e^{i\theta}) = (e^{i\theta/2} + e^{-i\theta/2} + 2)/4$, $e^{i\theta} \in \Gamma$. Then $0 \leq \lambda \leq 1$ on Γ . Let

$$\begin{aligned} (Tf)(e^{i\theta}) &= \lambda(e^{i\theta})f(e^{i\theta/2}) + (1 - \lambda(e^{i\theta})) f(-e^{i\theta/2}) \\ &= (\lambda C_{\sqrt{z}} + (1 - \lambda) C_{-\sqrt{z}})(f)(e^{i\theta}) \end{aligned}$$

for $f \in \mathcal{A}$. We note that the function $\sqrt{z} = e^{i\theta/2}$ on Γ is not continuous at $z = -1$. We note that $T1 = 1$. For $n \geq 1$, we have

$$\begin{aligned} (Tz^{2n})(e^{i\theta}) &= \lambda(e^{i\theta})(e^{in\theta} - e^{in\theta}) + e^{in\theta} = e^{in\theta} = z^n \in \mathcal{A}, \\ (Tz^{2n+1})(e^{i\theta}) &= 2\lambda(e^{i\theta}) e^{in\theta} e^{i\theta/2} - e^{in\theta} e^{i\theta/2} \\ &= (e^{i(n+1)\theta} + e^{in\theta})/2 \\ &= (z^{n+1} + z^n)/2 \in \mathcal{A}. \end{aligned}$$

For each $f \in \mathcal{A}$, there exists a sequence of analytic polynomials $\{p_n\}_n$ such that $\|p_n - f\|_\infty \rightarrow 0$. By the above, $Tp_n \in \mathcal{A}$. By the definition of T ,

$\|Tp_n - Tf\|_\infty \rightarrow 0$ as $n \rightarrow \infty$. Hence $Tf \in \mathcal{A}$ for every $f \in \mathcal{A}$. Then in the same way as Example 3, $T \in \text{BKW}(\mathcal{A}; \{1, z, z^2\})$, $\|T\| = 1$, and $T1 = 1$.

By the above two examples, we think that it is fairly difficult to give a complete description of operators in $\text{BKW}(\mathcal{A}; \{1, z, z^2\})$.

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